

MEMORANDUM FOR: NIO for Economics

SUBJECT : SIG-IEP Working Group on Other  
High Technology [redacted]

[redacted] attended the SIG-IEP  
on Advanced Technologies on 24 January. They noted  
it was a "bust":

- Commerce did not bring the oil or gas list
- DoD did not show

State and Commerce wordsmithed the attached cables  
and discussed a time schedule of COCOM events leading up  
to Williamsburg.

DC/TTAC

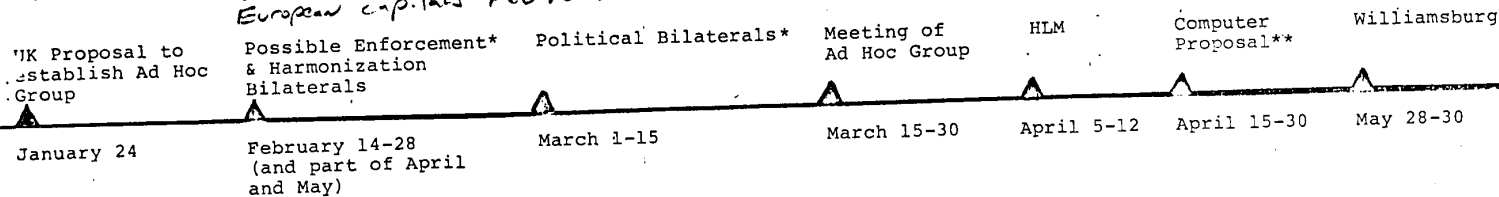
Attachments:  
As stated

Date 26 January 1983

#3

POTENTIAL SCHEDULE OF COCOM RELATED EVENTS

*Wallis visit to European capitals Feb 10-15*



6

- \* These may be combined into two teams, each containing three sub-teams.
- \*\* There may be a meeting of the Export Control Subcommittee at this time.

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*CONF*

TO AMEMBASSY LONDON IMMEDIATE

INFO ALL OTHER COCOM CAPITALS, USNATO (ALL IMMEDIATE)

USOECB, EXCON

SUBJECT: Allied Agreement on East West Trade: COCOM and  
Other High Technology

REF : London 2873

1. Reftel indicated that the British are considering  
positively our request that they propose the establishment  
of an ad hoc study group on other high technology (OHT).

at the January 25 COCOM meeting. FCO requested that we  
respond to specific questions listed in paragraph 7 of  
reftel in order to assist the British in convincing  
COCOM members on the merits of an OHT study group.//

*preliminary  
12.9.81*

2. Reftel paragraph 7a asked what technologies we  
proposed to review and in what order. We are not presently  
in a position to specify technologies or order of review,  
but we do expect to circulate a list of technologies for  
review to OHT study group participants prior to the  
initial OHT meeting.//

3. Paragraph 7b asked if we seek to control products and  
technology that would have direct military application or  
nondirect military application, e. g. energy or economic  
potential. The British are correct in assuming that we

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would give high priority to oil/gas equipment and technology.

In response to the security inquiry, we believe that prior to the convening of the OHT it would be premature to differentiate which specific products/technologies to be reviewed have military importance. [We understand that any proposal leading in the direction of adding to the COCOM list items that do not constitute military related technology would run into 'complications' //]

4. Paragraph 7c asked if the US intends to circulate in advance any written proposals concerning the OHT study. We do intend to circulate documentation, proposals, views, and objectives to the OHT members prior to the initial OHT meeting in order to expedite the progress of the study. //

5. Paragraph 7d raised the question of how the other East-West studies would relate to an OHT study. We assume that as the related studies are developed, they will provide the member governments with additional helpful perspectives for use in deliberations at the OHT. However, we do not believe that the OHT should delay its own work until the completion of other related NATO, OECD, or IEA studies. //

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6. Paragraph 7e asked how the OHT study would relate to previous US proposals in COCOM and whether we would intend to adjust the strategic criteria for COCOM consideration. We would expect that the OHT would review ~~GA~~ technologies which are not covered by COCOM and which are not now being considered in the current list review. We believe it would be premature to *consider a full* propose a change in the COCOM's strategic criteria before the OHT has had an opportunity to review the proposals and comments of the ~~member~~ member governments

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PAGE 01  
ACTION EB-08 PARIS 02598 211712Z

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EXCON

E.O. 12356: DECL: OADR  
TAGS: ECON, ESTC, COCOM, ETRD, FR  
SUBJECT: COCOM STUDY OF "OTHER TECHNOLOGY INCLUDING  
- OIL AND GAS"  
REF: LONDON 1481

1. SECRET - ENTIRE TEXT.

2. WE SUGGEST THAT THE REFTEL APPROACH BE MORE EXPLORATORY AND THAT THE BRITISH BE REQUESTED TO TEST THE WATER WITH THE FRENCH BEFORE COMING FORTH WITH A FULL-FLEDGED PROPOSAL. IF THE FRENCH REACTION IS SHARPLY NEGATIVE, WE COULD THEN CONSIDER WHETHER IT WOULD BE WORTHWHILE TO PRESS THIS PARTICULAR INITIATIVE FURTHER OR SEEK OTHER ARRANGEMENT DIRECTED TOWARD THE SAME OBJECTIVE. RATHER THAN GETTING EMBROILED IN A PROCEDURAL DISPUTE, WE SHOULD FOCUS OUR ENERGY ON SECURING AGREEMENT ON THE SUBSTANTIVE ASPECTS OF THIS STUDY.

3. WE ALSO AGREE WITH REFTEL THAT IT WOULD BE USEFUL TO HAVE A CLEARER IDEA OF WHAT IS INVOLVED BEYOND GAS AND OIL EQUIPMENT IN "OTHER TECHNOLOGY" TO BE DISCUSSED. THE PRESENT OPEN ENDED FORMULATION, COMBINED WITH OUR PROPOSAL FOR A SEPARATE AD HOC GROUP, COULD RAISE QUESTIONS AS TO OUR INTENTIONS. GALBRAITH

SECRET

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ACTION EB-08

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S E C R E T SECTION 01 OF 02 LONDON 01481

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EXCOM

E.O. 12356: DECL: 1/20/13

TAGS: ESTC, COCOM, ETRD

SUBJECT: ALLIED AGREEMENT ON EAST-WEST TRADE; COCOM AND  
OTHER HIGH TECHNOLOGY INCLUDING OIL AND GAS

REF: STATE 16565

1. S - ENTIRE TEXT.

2. SUMMARY:

HMG WILL GIVE PROMPT CONSIDERATION TO OUR REQUEST THAT IT PROPOSE ESTABLISHMENT OF AN AD HOC STUDY GROUP ON OTHER HIGH TECHNOLOGY (OHT) AT THE JANUARY 25 COCOM MEETING. FCO HOPES FOR REPLY BEFORE MEETING, BUT CANNOT GUARANTEE IT. BRITISH BELIEVE WE WOULD HAVE EASIER TIME CONVINCING COCOM MEMBERS ABOUT THE MERITS OF AN OHT STUDY GROUP IF WE COULD BE MORE EXPLICIT ABOUT WHICH TECHNOLOGIES WE PROPOSE TO REVIEW AND IN WHAT ORDER. IN THIS REGARD, FCO WOULD APPRECIATE DEPARTMENT'S EARLY RESPONSE TO QUESTIONS LISTED IN PARA 7.

END SUMMARY.

3. IN RESPONSE TO FEFTEL, ECON COUNSELOR MET ON JANUARY 28 WITH COLIN MCLEAN, MARC GOWLAND, AND BRIAN DONALDSON OF TRADE RELATIONS AND EXPORTS DEPARTMENT OF FCO. HE URGED HMG TO PROPOSE ESTABLISHMENT OF AD HOC GROUP ON OHT AT JANUARY 25 COCOM MEETING AND PASSED ON DEPARTMENT'S VIEWS REGARDING TIMING, VENUE, CHAIRMANSHIP, AND STRUCTURE.

4. MCLEAN SAID HE WOULD HAVE TO DISCUSS MATTER WITH ASSISTANT UNDER SECRETARY JEREMY THOMAS, WHO RETURNS TO THE OFFICE ON JANUARY 24, AS WELL AS WITH OTHER DEPARTMENTS IN WHITEHALL. HE COULD NOT, THEREFORE, GUARANTEE A RESPONSE BEFORE THE MEETING, NOR COULD HE PREDICT THE REACTION OF OTHER DEPARTMENTS TO OUR SUGGESTION THAT BRITAIN TAKE THE LEAD IN SEEKING TO ESTABLISH THE STUDY GROUP. NONETHELESS, HE WOULD MAKE EVERY EFFORT TO GET AN EARLY ANSWER FOR US, NOTING THAT BRATHWAITE'S SPEAKING NOTES (PARA 5 OF FEFTEL), WHICH HE DRAFTED, HAD FULL APPROVAL OF PERTINENT WHITEHALL MINISTRIES.

5. MCLEAN THEN EXPANDED ON HMG'S THINKING ABOUT THE OHT STUDY. BRITISH FAVORED ESTABLISHMENT OF A SEPARATE OHT STUDY GROUP BECAUSE COCOM CHAIRMAN AND SECRETARIAT ARE FULLY OCCUPIED WITH THE LIST REVIEW. THAT WORK IS PROGRESSING SATISFACTORILY, AND THE UK WOULD NOT WANT TO BURDEN COCOM MACHINERY WITH A NEW STUDY WHICH COULD IMPEDE PROGRESS ON THE LIST REVIEW. FURTHERMORE, WITH COCOM HLM FORMAT IN MIND, HE BELIEVES THAT SENIOR POLICY OFFICIALS FROM CAPITALS SHOULD PARTICIPATE IN STUDY GROUP WITH ASSISTANCE AS NECESSARY FROM DEFENSE AND TECHNICAL EXPERTS. HIGH LEVEL REPRESENTATION WOULD BE NECESSARY BECAUSE OF THE "TRICKY" NATURE OF THE SUBJECT UNDER REVIEW. HE EXPECTED THAT OTHER COCOM MEMBERS WOULD BE CAUTIOUS, NOT KNOWING WHERE WE INTEND TO DRAW THE LINE ON CONTROLS.

6. REGARDING OUR SUGGESTION ON VENUE AND CHAIRMANSHIP, HE SAID THAT THE FRENCH MAY BE RELUCTANT TO CHAIR A MEETING IN LONDON. THE BRITISH AGREE, HOWEVER, THAT IT IS HIGHLY DESIRABLE TO HAVE FRANCE IN THE CHAIR, ESPECIALLY PAYE, TO PREVENT MOUTON AND OTHERS ON THE FRENCH SIDE FROM "CAUSING MISCHIEF." ON A NARROWER POINT, HE ALSO SAID THAT THE BRITISH MAY NOT WANT THE MEETINGS IN LONDON BECAUSE OF THE FINANCIAL COMMITMENTS INVOLVED AND DEMANDS ON ALREADY OVERCOMMITTED BRITISH PERSONNEL. HE PLEADED A SHOESTRING BUDGET. THESE ARE ISSUES WHICH HMG MUST CONSIDER BEFORE REPLYING TO OUR DEMARCHE.

7. TURNING TO THE WORK OF THE STUDY GROUP, MCLEAN SAID WE MIGHT HAVE AN EASIER TASK GAINING SUPPORT FOR THE PROPOSAL IF WE COULD BE MORE EXPLICIT ABOUT WHAT WE PROPOSED TO STUDY. HE SAID THAT COCOM PARTNERS MAY AGREE IN PRINCIPLE TO ESTABLISH A STUDY GROUP, BUT WILL INSIST ON KNOWING OUR OBJECTIVES, THE SCOPE OF THE WORK, AND ITS RELATION TO

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ACTION EB-00

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SECRET SECTION 02 OF 02 LONDON 01481

USOECG ALSO FOR EMBASSY  
EXCON

OTHER STUDIES IN NATO, OECD, AND IEA. HE THEN REQUESTED THAT EMBASSY OBTAIN WASHINGTON GUIDANCE ON THE FOLLOWING QUESTIONS. HE STRESSED THAT IF WE CAN PROVIDE ANSWERS TO THESE QUESTIONS OR AT LEAST SOME IDEA OF OUR CURRENT THINKING, OUR COCOM PARTNERS MIGHT BE MORE FORTHCOMING:

A. WHAT TECHNOLOGIES DO WE PROPOSE TO REVIEW AND IN WHAT ORDER?

B. HMG ASSUMES THAT WE WILL GIVE HIGH PRIORITY TO OIL/GAS EQUIPMENT AND TECHNOLOGY. DO WE SEEK TO CONTROL PRODUCTS AND TECHNOLOGY WHICH MAY HAVE SOME MILITARY APPLICATION OR, MORE BROADLY, ITEMS THAT COULD CONTRIBUTE TO THE VARSAW PACT'S ENERGY OR ECONOMIC POTENTIAL BUT WHICH DO NOT HAVE DIRECT MILITARY APPLICATION?

C. DOES THE US INTEND TO CIRCULATE IN ADVANCE ANY WRITTEN PROPOSALS, OUTLINING OUR VIEWS AND OBJECTIVES IN THE OMT STUDY?

D. HOW WILL WE TAKE ACCOUNT OF WORK ALREADY UNDERWAY IN NATO, THE OECD, OR IEA WHICH MAY HAVE RELEVANCE TO OMT? (E.G. THE FINDINGS OF THE ENERGY STUDY COULD BE RELEVANT TO THE REVIEW IN OMT STUDY GROUP OF OIL/GAS EQUIPMENT AND TECHNOLOGY).

E. HOW DOES THE CALL FOR A STUDY ON OMT INCLUDING OIL/GAS RELATE TO PREVIOUS US PROPOSALS IN COCOM? DO WE INTEND TO BREAK NEW GROUND? WILL THE US PROPOSE TO ADJUST THE STRATEGIC CRITERIA FOR COCOM CONSIDERATION?

F. MCLEAN AND GOWLAND BOTH STRESSED HMG'S COMMITMENT TO CARRYING OUT ALL THE STUDIES CALLED FOR IN THE "SUMMARY OF CONCLUSIONS." THE BRITISH ARE PLEASED WITH THE PROGRESS MADE THUS FAR IN ESTABLISHING THE TERMS OF REFERENCE FOR THE OTHER STUDIES. THEY RECOGNIZE THAT WE WANT TO COMPLETE MUCH OF THE WORK BY THE WILLIAMSBURG SUMMIT. REALISTICALLY, THEY EXPECT THAT THE WORK ON OMT MAY NOT MOVE AS QUICKLY, NOT BECAUSE OF FOOT-DRAGGING OR OPPOSITION BY SOME COCOM PARTNERS TO THE STUDY, BUT BECAUSE OF THE CONTENTIOUS AND COMPLICATED NATURE OF THE ISSUES UNDER REVIEW. LOUIS

SECRET



To: Amembassy Paris Immediate

Info: all other COCOM capitals, USNATO all immediate

USOEC

EXCON

E.O. OADR

Subject: Allied Agreement on East West Trade: COCOM and  
Other High technology, including oil and gas

Reference: a. State 7093 b. Paris 1162 c. Paris 2153

1. (c) Entire text

2. In response to the questions raised by other delegations on  
the USG-proposed ~~HLM~~ high level meeting, USDEL may draw on the  
following:

1. ~~Duration~~ Duration Based on the first HLM last January we  
estimate that ~~one or one and a half~~ <sup>two and a half</sup> days will be necessary.

~~XXXXXX~~ for a full discussion of the proposed agenda items.

2. Proposed agenda. ~~XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX~~

~~XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX~~  
We note that the UKDEL has questioned the inclusion of a  
discussion of enforcement and harmonization issues which are  
items normally discussed by the Export Control subcommittee.  
While we would not expect that the HLM would ~~discuss~~ <sup>discuss</sup> such issues  
in as much detail as the Subcommittee, we do feel that it would  
be appropriate for policy level participants to address a number  
of key enforcement and harmonization problems, such as the  
problem of third country diversions (a subject mentioned ~~XXXXXX~~  
~~XXXXXX~~ specifically in agreed minute of the First HLM).

In a similar ~~vein~~ vein, while the HLM could not be expected to  
review the technical aspects of  
review List Review ~~XXXXXX~~ proposals, ~~XXXXXX~~ we believe that

should review the Committee's progress in strengthening embargo coverage in ~~the~~ the technological areas earmarked by the first HLM for priority attention.

3. Level of representation-- USDEL was correct in pointing out that the US ~~XXXXXXXXXXXX~~ believes ~~XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX~~ ~~XXXXXX~~ that the second HLM should be at the same level as the first HLM, ~~is~~ i.e. under secretary level. ~~Xi~~ Under Secretary of State for Security Assistance, Science and Technology will be heading the U.S. delegation.

4. Preparatory Meeting -- ~~We~~ In response to the German delegate's remark, we are ~~xxx~~ open-minded at this time about a preparatory meeting. We would certainly be prepared to participate in such a meeting if other members feel it would be useful.

The U.S. also intends to have bilateral consultations with a number of other member governments.

##### 5. Purpose of the HLM

In response to the German delegate's query re what the HLM might be expected to accomplish; we feel a Second HLM would provide policy level leaders in the member governments with an opportunity to: (1) provide political guidance to the organization in taking steps to strengthen the multilateral system of export controls and (2) review the progress of COCOM in meeting the objectives adopted by the first HLM. USDEL should also point out to delegates of summit government delegations that the HLM can provide stimulus for visible and significant improvements that can be ratified at Williamsburg.

6. The U.S. would like to propose Paris as the site for the HLM if the French government is again in a position to provide a meeting room, perhaps at the Kleber International Conference center. If this is not possible, the United States would be prepared to host the HLM in Washington.
7. COCOM finances- We look forward to early receipt of the COCOM chairman's review of the Secretariat's administrative problems.

To: Amembassy Paris Immediate

Info: all other COCOM capitals, USNATO all immediate

USOECD

EXCON

E.O. OADR

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Subject: Allied Agreement on East West Trade: COCOM and  
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